

1632

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/247,886
Filing Date	February 10, 1999
First Named Inventor	Juha Punnonen
Group Art Unit	1632
Examiner Name	Shin-Lin Chen, Ph.D.
Attorney Docket Number	0156.110US

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ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

Communication Enclosing Copies of Information Disclosure Statement and References 1-81 Previously Submitted; Copy of Transmittal form for IDS; Copy of IDS, Copy of Date-Stamped Return Postcard; Copy of PTO/SB/08A-B, Copy of Transmittal Form; Copy of Communication to the Examiner Regarding Information Disclosure Statement; References 1-81; Copy of Date-Stamped Return Postcard; Return Postcard

Remarks

Please charge Deposit Account No. 50-0990 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Margaret A. Powers, Reg. No. 39,804

Signature

Date

October 22, 2002

CERTIFICATE OF MAILING

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October 22, 2002

Typed or printed name

Diana M. Schaller

Signature

Date

October 22, 2002

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On October 22 2002

By Diana M. Schaller
Diana M. Schaller

Attorney Docket No. 0156.110US
(formerly Atty. Docket No.: 02-030200US)

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10-30-02

P.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Juha Punnonen, *et al.*

Examiner: Shin-Lin Chen, Ph.D.

Application No.: 09/247,886

Art Unit: 1632

Filed: February 10, 1999

For: TARGETING OF GENETIC
VACCINE VECTORS

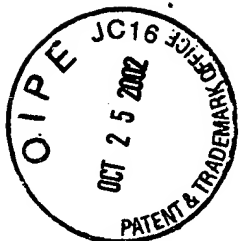
**COMMUNICATION ENCLOSING
COPIES OF INFORMATION
DISCLOSURE STATEMENT AND
REFERENCES 1-81 PREVIOUSLY
SUBMITTED**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants hereby submit a second set of copies of the Information Disclosure Statement (IDS), Form PTO/SB/08A-B, and references 1-81 cited therein that were originally mailed to the USPTO in the above-referenced application on March 25, 2002. The references cited on that IDS were mailed to the USPTO in two separate boxes. As shown by the attached documents and as explained below, Applicants believe both boxes of references were received by the USPTO. However, Applicants were informed by the Examiner on September 5, 2002 that the Examiner only received one box of references containing copies of references 82-428. Applicants thank the Examiner for his time and courtesy in searching for the additional box of references.

For the convenience of the Examiner and to facilitate prosecution, Applicants are submitting herein a second copy of each of references 1-81 that were cited on the IDS filed on March 25, 2002.



As indicated above, the large number of references cited on the IDS were mailed on March 25, 2002 in two separate boxes to the USPTO. Attached herein are copies of the following documents showing that all references 1-428 were filed on March 25, 2002 and were received by the USPTO on March 29, 2002.

Copies of documents submitted in the first box:

1. Copy of Transmittal Form for Information Disclosure Statement as filed on March 25, 2002;
2. Copy of Information Disclosure Statement as filed on March 25, 2002;
3. Copy of PTO/SB/08A-B as filed on March 25, 2002 cited references 1-428 (including copies of cited references 1-81); and
4. Copy of Return Postcard date-stamped by the USPTO mailroom on March 29, 2002 (indicating receipt of the first box containing references 1-81).

Copies of documents submitted in the second box:

1. Copy of Transmittal Form for the Communication to the Examiner Regarding Information Disclosure Statement as filed on March 25, 2002;
2. Copy of Communication to the Examiner Regarding Information Disclosure Statement as filed on March 25, 2002 (including copies of references 82-428); and
3. Copy of Return Postcard date-stamped by the USPTO mailroom on March 29, 2002 (indicating receipt of the second box containing references 82-428). As shown on this return postcard, copies of the IDS and PTO/SB/08A-B form were also enclosed in the second box.

Applicants believe no additional fees are due, since this IDS and copies of the cited references were previously filed on March 25, 2002 and received by the USPTO on March 29, 2002, as indicated by the attached documents, and a fee of \$180.00 was paid at that time.

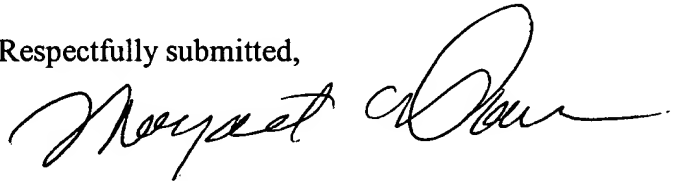
However, in the event that it is determined that an additional fee is due, Applicants request that a fee of \$180.00 be deducted from the undersigned's Deposit Account No. 50-0990.

This IDS is being filed after the First Office Action and more than three months after the filing date, but prior to the Notice of Allowance or Final Office Action.

Applicants respectfully request that the Examiner consider these references and make them of record in the above-referenced application.

If the Examiner believes a telephone conference would expedite prosecution of this Application, please telephone the undersigned at (6650) 298-5809.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Margaret A. Powers".

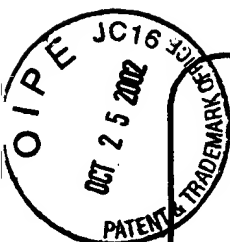
Margaret A. Powers
Reg. No. 39,804

Maxygen, Inc.
Patent Department
515 Galveston Drive
Redwood City, CA 94063
Telephone: (650) 298-5300
Facsimile: (650) 298-5446

Please type a plus sign (+) inside the box → ☐

(Modified) PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

26

Application Number

09/247,886

Filing Date

February 10, 1999

First Named Inventor

Juha Punnonen

Group Art Unit

1633

Examiner Name

S. Chen

Attorney Docket Number

02-030210US

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- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

receipt acknowledgment postcard

Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Jonathan Alan Quine, Reg. No. 41,261, Quine Intellectual Property Law Group, P.C.

Signature

Jonathan Alan Quine

Date

3-25-02

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Typed or printed name

Tracie Brooks

Signature

Tracie Brooks

Date

3-25-02



TO THE U.S. PATENT & TRADEMARK OFFICE

Please stamp the date of receipt of the following document(s) and return this card to us:

RE: Information Disclosure Statement for
TARGETING OF GENETIC VACCINE VECTORS

TITLE OF DOCUMENT(S):

- Transmittal Sheet
- Information Disclosure Statement
- PTO-form 1449
- Cited References
- Acknowledgement Postcard



Application No. 09/247,886

File No. 02-030210US

Date Mailed: March 25, 2002

Atty/Secty.: JAQ:tb

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Quine Intellectual Property Law Group, P.C.

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/247,886	
	Filing Date	February 10, 1999	
	First Named Inventor	Juha Punnonen	
	Group Art Unit	1633	
	Examiner Name	S. Chen	
Total Number of Pages in This Submission	2	Attorney Docket Number	02-030210US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Communication to Examiner regarding IDS with reference cited in co-filed IDS	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Small Entity Statement	
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Authorization to Charge Deposit Account Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.		
Remarks		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Jonathan Alan Quine, Reg. No. 41,261, Quine Intellectual Property Law Group, P.C.	
Signature		
Date	3-25-02	

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Typed or printed name	Tracie Brooks		
Signature		Date	3-25-02



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a box addressed to:

Assistant Commissioner for Patents,

Washington, D.C. 20231,

On March 25, 2002

Quine Intellectual Property Law Group, P.C.

By Tracie Brooks
Tracie Brooks

Attorney Docket No. 02-030210US

Client Ref. No. 0156.110US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Juha Punnonen, *et al.*

Application No.: 09/247,886

Filed: February 10, 1999

For: TARGETING OF GENETIC
VACCINE VECTORS

Examiner: S. Chen

Art Unit: 1633

COMMUNICATION TO THE
EXAMINER REGARDING
INFORMATION DISCLOSURE
STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The enclosed references complete the co-filed Information Disclosure Statement, providing all remaining references for submission.

Respectfully submitted,

Jonathan Alan Quine
Reg. No. 41,261

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.
P.O. Box 458
Alameda, CA 94501
Tel: (510) 337-7871
Fax: (510) 337-7877

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File No. 02-030210US

Date Mailed: March 25, 2002

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